

# Responsible Investment Committee



*SINGULARITY AMC LLP*

*Version 1.2*

*Effective: 6 January 2025*

## Context

Singularity AMC LLP (“Singularity”) is committed to integrating environmental, social, and governance (ESG) factors into its investment processes in alignment with the United Nations Principles for Responsible Investment (UNPRI). To guide and oversee this effort, the firm has established a Responsible Investment Committee (RIC) as the primary governance body for ESG integration across its investment lifecycle. Institutionalizing a formal internal RI committee strengthens our dedication to responsible investment. The committee serves as the central governing body, driving our organization’s alignment with and meeting the operational demands of the UNPRI. Singularity has formed and established an Internal Responsible Investment Committee, which will take effect on January 06<sup>th</sup>, 2025.

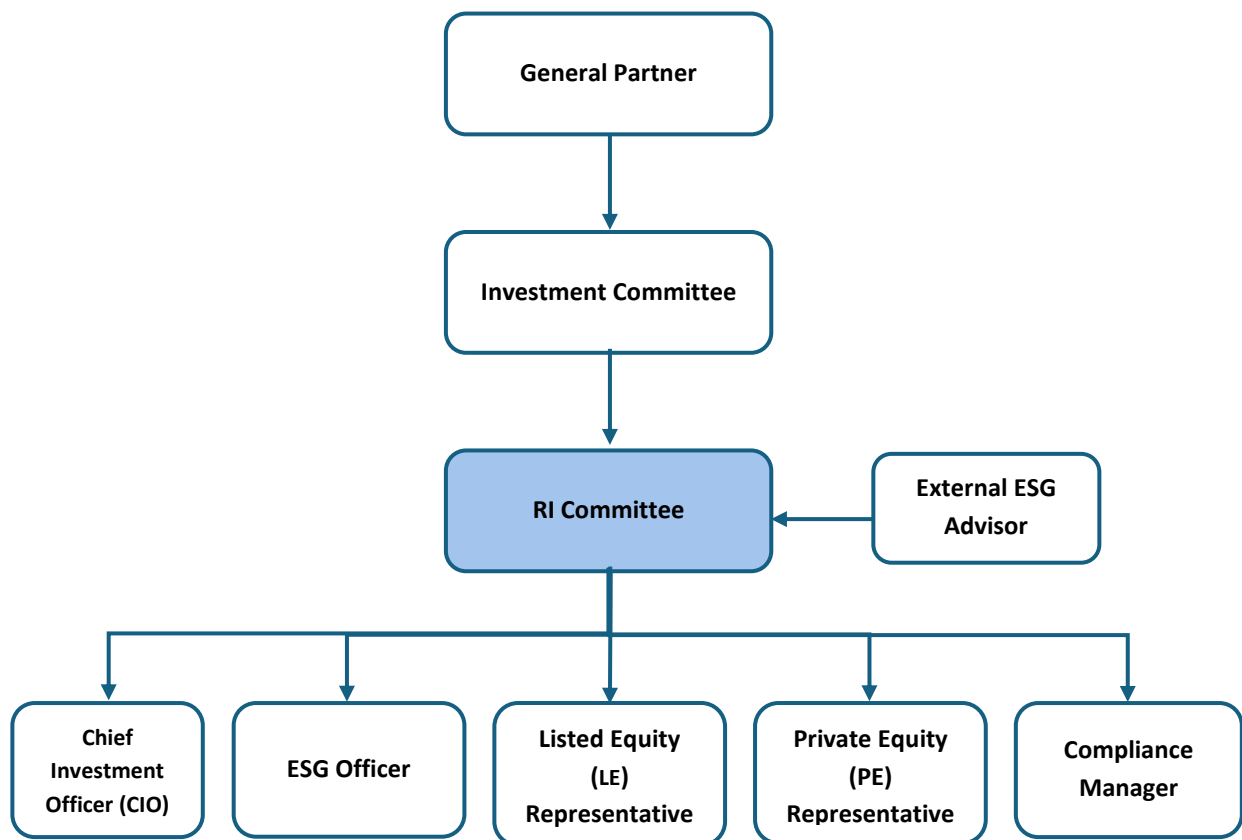
## Purpose & Mandate

As ESG integration is still in its early stages at the firm, one of the committee’s key mandates is to drive the progressive implementation of responsible investment practices across all assets under management (AUM). The RI Committee provides strategic oversight of ESG integration within both listed equity and private equity strategies, ensuring that the firm’s Responsible Investment (RI) Policy is structured and consistent. It also supports the development of ESG tools, scoring frameworks, and stewardship approaches. It also reviews emerging ESG risks and opportunities, monitors policy adherence, and ensures alignment with the firm’s commitments under the UN Principles for Responsible Investment (PRI). The committee is a crucial governance mechanism for embedding ESG into investment decision-making and ownership practices over time. The RI Committee is a cross-functional body. The responsibilities include

- Supervising all investment activity with the implementation of RI and ESG principles and policies
- Considerations of ESG factors in the investment lifecycle from pre-investment to post-investment
- Executing ESG screening, conducting due diligence, and evaluating red flags
- Monitoring the ESG key performance indicators (KPIs), policy applicability, and coverage across all our AUM
- Preparation of disclosures of PRI, Limited Partners (LPs), audits, and regulatory stakeholders
- Functions as an internal board for reviewing ESG risks, escalation, and capacity-building measures

## RI Committee Structure

Our Responsible Investment Committee at Singularity is designed as a cross-functional governance body with representation from investment, compliance, and ESG advisory roles. The structure ensures that ESG integration is embedded across listed equity, private equity, and overall investment oversight processes. The RI Committee serves as a coordination and oversight platform for implementing ESG policies, reviewing investment practices, and guiding the firm's ESG strategy.



Optional invitees may include legal advisors or external specialists for specific agenda items.

### Roles and Responsibilities of Committee Members

- **CIO:** Acts as chairman of the RI Committee, provides overall direction, chairs meetings, and ensures ESG integration aligns with investment strategy
- **ESG Officer:** Coordinates all ESG-related processes, supports policy implementation, and manages PRI reporting
- **LE Representative:** Oversees ESG assessment, integration, and monitoring within listed equity investments
- **PE Representative:** Oversees ESG due diligence, engagement, and value creation planning in private equity
- **Compliance Manager:** Monitors ESG-related regulatory risks and upholds internal controls and policies

- **External ESG Advisor:** Provides technical input, industry benchmarking, and guidance on evolving ESG standards and expectations

## Meeting Frequency

The RI committee meets once a quarter or as needed, following investment committee (IC) approval, to assess all potential investment cases, track their updates, and address any ESG-related escalations. These discussions and talks will be minuted, documented, and stored for the UNPRI audit trail.

## Reporting & Accountability

- Reports to: General Partner & Investment Committee
- Quarterly ESG performance dashboards must be submitted
- PRI disclosure drafts to be approved by the RI Committee before external submission
- Ensures compliance with training for the RI Committee and transaction teams

## Governance and Decision-Making Protocol

- All decisions will be determined by simple majority, with the Chairperson possessing a casting vote in the event of a tie
- The outcomes of the RI Committee meeting shall be officially documented and logged for quarterly reporting purposes
- The RI Committee's recommendations will be submitted to the investment teams or fund managers for incorporation in ongoing investment decision-making and post-investment portfolio monitoring
- The RI Committee will coordinate directly with the external ESG Advisor to align with UNPRI 2025 expectations and score improvement initiatives

### Key Deliverables

Deliverable	Timeline	Owner	Remarks
Confirm ESG coverage across $\geq 60\%$ of AUM	June 2025	Chairperson (CIO) + PE/LE Representatives	Includes legacy investments via side letters, RI engagement, and ESG acknowledgement letters
Activate ESG KPI tracking for new investments	May 2025	ESG Officer	KPI framework to be integrated in onboarding
Complete 5 ESG training sessions for the RIC	June 2025	External ESG Advisor + ESG Officer	Internal + external sessions
Finalize and submit all required PRI documentation	July 2025	ESG Officer + Compliance Manager	All modules audit-verified
Develop UN PRI Roadmap and Implementation Plan	July 2025	ESG Officer + External ESG Advisor	Framework to align actions across RI policy and reporting

## Monitoring and Reporting

The RI Committee is responsible for tracking and evaluating the implementation of the RI Policy and ESG practices across Singularity's investment activities. The process includes monitoring progress against defined deliverables, identifying areas for improvement, and ensuring accountability across stakeholders involved in ESG integration.

The RIC will prepare and submit a performance report to the RI Committee Chairperson (CIO) and the Compliance Manager every quarter. This report will serve as a structured mechanism to evaluate the effectiveness of ESG integration and identify any corrective actions.

## Reviews and Updates

The mandate and composition of the RI Committee will be reviewed annually to ensure continued relevance, effectiveness, and alignment with Singularity's evolving ESG integration strategy and PRI commitments.